

# 2021 Tax Forms Mailing Information

Important notes about your tax statements (Not including LPL Accounts)



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The mailing date for tax statements varies depending on the type of account and investments. The statements will be mailed on or before the dates listed below.

If the account is jointly owned, the tax statements are sent to the primary account holder.

Certain Consolidated 1099 Forms and K-1 Forms may be accessed online as soon as they are ready. Please contact your Wealth Advisor for additional information.

Account Types	Form	Description	Mailing Status
Traditional, SEP, ROTH & SIMPLE IRAs & Retirement Plans	1099-R	Reports Distributions from Traditional, Roth, SEP & SIMPLE IRAs	01/31/2022
Traditional, SEP, ROTH & SIMPLE IRAs	5498	Reports Contributions, Rollovers, 12/31/21 Fair Market Value and 2022 Required Minimum Distributions (if applicable)	01/31/2022*
Investment Management Accounts, Revocable Trusts, Custody Accounts	Consolidated 1099 Forms and other supplemental information	Consolidated Tax Package that includes 1099-DIV, 1099-INT, 1099-B and 1099-OID & Supplemental Statements	02/15/2022
Investment Management and Custody Accounts not required to issue 1099 DIOB Forms	Tax Ledgers	Tax Ledger Report for corporations and other entities that are not required to prepare and submit 1099-DIOB Forms	02/15/2022
Irrevocable Trusts and Estates	K-1 Forms	Reports amounts that should be considered on your personal income tax return	04/15/2022**

\*Note: Prior year contributions for Traditional and Roth IRAs received in 2021 by the due date may generate a corrected Form 5498 which will be issued in May.

\*\*Due Date is 4/15/22 - Most K-1 Forms will be sent to the beneficiary by mid-February